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DEPARTMENT OF MATHEMATICS  
TECHNICAL REPORT

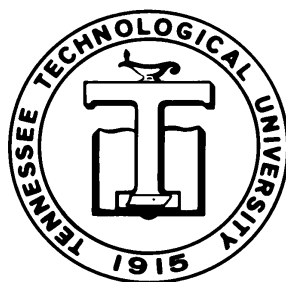
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# PERFECT BINARY MATROIDS

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August 1999

No. 1999-8



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# PERFECT BINARY MATROIDS

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Abstract. In this paper a definition of perfect binary matroids is considered and it is shown that, analogous to the Perfect Graph Theorem of Lovász and Fulkerson, the complement of a perfect matroid is also a perfect matroid. In addition, the classes of critically imperfect graphic matroids and critically imperfect graphs are compared.

## 1. Introduction

The matroid notation and terminology used here will follow Oxley [7], and only simple graphs and matroids will be considered. Since being introduced by Berge [1], the concept of a perfect graph has been a fruitful area of research in graph theory. In this paper, we investigate a definition of perfect binary matroids analogous to the definition of perfect graphs. Recall that a graph  $G$  is said to be *perfect* if  $\omega(H) = \chi(H)$  for all vertex-induced subgraphs  $H$  of  $G$ . Therefore, in order to extend the notion of a perfect graph to matroid theory, matroidal analogues for the clique number,  $\omega(G)$ , and the chromatic number,  $\chi(G)$ , of a graph  $G$  are needed.

Since the clique number of a graph  $G$  is the maximum cardinality of a set of vertices that induces a complete subgraph of  $G$ , a matroidal analogue can be identified by exploiting an analogy between projective geometries in matroid theory and complete graphs in graph theory. In particular, since every rank- $r$  simple matroid representable over  $GF(q)$  can be obtained from the projective geometry  $PG(r-1, q)$  by deleting elements, just as every graph on  $n$  vertices can be obtained from the complete graph  $K_n$  by deleting edges, we make the following definition.

**Definition 1.1.** Let  $M$  be a rank- $r$  matroid representable over  $GF(q)$ . The *clique number* of  $M$ , denoted  $\omega(M; q)$ , is given by  $\omega(M; q) = \max\{r(M|K) : K \cong PG(n-1, q)\}$  where  $1 \leq n \leq r$ .

Thus, for a matroid  $M$  representable over  $GF(q)$ , the clique number of  $M$  is the rank of the largest projective geometry  $PG(n-1, q)$  that is a restriction of  $M$ . In particular, as  $PG(1, 2)$  is a circuit on 3 elements,  $\omega(M; 2) \geq 2$  for a binary matroid having a 3-circuit. Moreover, if  $M$  is the polygon matroid of a graph  $G$ , then  $\omega(M; 2) \leq 2$  since the rank-3 Fano matroid,  $PG(2, 2)$ , is an excluded minor for graphic matroids.

There are several ways one may attempt to define the chromatic number of a simple matroid. We shall use the *critical exponent* of a matroid, introduced by Crapo and Rota [4], as the matroidal analogue of the chromatic number of a graph. Recall that for a positive integer  $k$  and a graph  $G$ , a *proper  $k$ -coloring* of  $G$  is a function  $f$  from the vertices of  $G$  into  $\{1, 2, \dots, k\}$  such that if  $uv$  is an edge of  $G$ , then  $f(u) \neq f(v)$ . It is well-known that the number of such colorings, denoted  $\chi_G(k)$ , is a polynomial in  $k$  called the *chromatic polynomial* of  $G$  and that the

chromatic number of  $G$  may be defined by  $\chi(G) = \min\{k : \chi_G(k) > 0\}$ . The *characteristic polynomial* (see, for example, [9, p. 120]) of a matroid  $M$  in the variable  $\lambda$ , denoted  $p(M; \lambda)$ , generalizes the chromatic polynomial of a graph.

**Definition 1.2.** The *critical exponent* of a loopless matroid  $M$  representable over  $GF(q)$  is defined by  $c(M; q) = \min\{j \in \mathbb{N} : p(M; q^j) > 0\}$ .

Therefore the critical exponent of a simple binary matroid  $M$  is the smallest positive integer  $j$  such that  $p(M; 2^j) > 0$ , just as the chromatic number of a graph  $G$  is the smallest possible integer  $k$  such that  $\chi_G(k) > 0$ . We now list several useful facts about the critical exponent of a matroid (see, for example, [3, p. 163] or [9, p. 129]).

**Lemma 1.3.**  $c(M; q) = \min\{j \in \mathbb{N} : p(M; q^k) > 0 \text{ for all integers } k \geq j\}$ .

We will often use the following interpretation of the critical exponent of a graphic matroid.

**Lemma 1.4.** *If  $M$  is the polygon matroid of a graph  $G$ , then  $c(M; 2)$  is the least integer  $c$  such that the chromatic number of  $G$  does not exceed  $2^c$ .*

For a matroid representable over  $GF(q)$ , the next result [3, Corollary 6.4.13] provides an alternative characterization of the critical exponent.

**Lemma 1.5.** *If  $M$  is isomorphic to the restriction of  $PG(n-1; q)$  to the set  $E$ , then*

$$\begin{aligned} c(M; q) &= \min\{j \in \mathbb{N} : PG(n-1, q) \text{ has hyperplanes } H_1, H_2, \dots, H_j \text{ such that} \\ &\quad (\cap_{i=1}^j H_i) \cap E = \emptyset\} \\ &= \min\{j \in \mathbb{N} : PG(n-1, q) \text{ has a flat of rank } n-j \text{ having empty} \\ &\quad \text{intersection with } E\}. \end{aligned}$$

Thus a  $GF(q)$ -representable rank- $r$  matroid  $M$  with critical exponent one can be embedded in the complement of a hyperplane of  $PG(r-1, q)$ ; that is,  $M$  is affine. This useful geometric interpretation of the critical exponent is part of the next result (see, for example, [9, Corollary 7.6.3] or [3, Exercise 6.50]).

**Lemma 1.6.** *The following are equivalent for a simple binary matroid  $M$ .*

- (i) *Every circuit of  $M$  has even cardinality.*
- (ii)  *$M$  is a binary affine matroid.*
- (iii)  $c(M) = 1$ .

From Lemma 1.5 it is evident that if  $M$  is simple and  $T$  is a subset of  $E(M)$ , then  $c(M|T; q) \leq c(M; q)$ . On combining this with the fact that both the rank and critical exponent of  $PG(n-1, q)$  equal  $n$ , we have the following lemma.

**Lemma 1.7.** *If  $M$  is a simple matroid, then  $\omega(M; q) \leq c(M; q)$ .*

The next result [7, Proposition 9.3.4] gives useful information about the circuits of binary matroids.

**Lemma 1.8.** *Let  $C$  be a circuit of a simple binary matroid  $M$  and let  $e$  be an element of  $cl(C) - C$ . Then there is a partition of  $C$  into non-empty subsets  $X_1$  and  $X_2$  so that  $X_1 \cup e$  and  $X_2 \cup e$  are circuits of  $M$ , and  $M$  has no other circuits that contain  $e$  and are contained in  $C \cup e$ .*

## 2. Perfect Binary Matroids

The availability of matroidal analogues for the chromatic number and clique number of a graph naturally leads to the following definition.

**Definition 2.1.** A simple  $GF(q)$ -representable matroid  $M$  is *perfect* if  $\omega(M|F; q) = c(M|F; q)$  for each flat  $F$  of  $M$ .

We shall abbreviate  $\omega(M; 2)$  and  $c(M; 2)$  to  $\omega(M)$  and  $c(M)$ , respectively when considering only binary matroids.

**Example 2.2.** Since  $\omega(PG(n-1, 2)) = c(PG(n-1, 2)) = n$  and each flat of a projective geometry is also a projective geometry, it follows that  $PG(n-1, 2)$  is a perfect binary matroid.

**Example 2.3.**  $M(K_4)$  is a perfect matroid. Since  $\chi(K_4) = 4$ , it follows from Lemma 1.4 that  $c(M(K_4)) = 2$ . Moreover, as  $K_4$  contains a 3-cycle as a restriction,  $\omega(M(K_4)) = 2$ . Furthermore, for each proper flat  $F$  of  $M(K_4)$ , we have

$$(2.1) \quad \omega(M(K_4)|F) = c(M(K_4)|F) = \begin{cases} 2, & \text{if } F \text{ contains a 3-circuit} \\ 1, & \text{otherwise.} \end{cases}$$

Thus  $K_4$  is a perfect graph and  $M(K_4)$  is a perfect matroid. However, not all perfect graphs yield perfect graphic matroids. For instance, the perfect graph  $K_5$  yields an imperfect matroid since  $c(M(K_5)) = 3$ , while  $\omega(M(K_5)) = 2$ . In fact, any graph  $G$  such that  $\chi(G) \geq 5$  will yield an imperfect matroid  $M(G)$ .

An elementary result in graph theory characterizes a bipartite graph as a graph having no odd cycles. Since the bipartite graphs are an example of a class of perfect graphs, an attractive part of the next result is that the binary matroids having no odd circuits are perfect matroids. Let  $C$  be a circuit of a matroid  $M$ . An element  $e$  of the matroid is a *chord* of the circuit  $C$  if  $e \in cl_M(C) \setminus C$ .

**Theorem 2.4.** *Let  $M$  be a simple binary matroid such that  $c(M) \leq 2$ .*

- (i) *If  $c(M) = 1$ , then  $M$  is perfect.*
- (ii)  *$M$  is perfect if and only if every odd circuit  $C$  of  $M$  such that  $|C| \geq 5$  has a chord.*

*Proof.* If  $c(M) = 1$ , then  $M$  is affine and Lemma 1.6 implies that  $M$  has no odd circuits. Hence  $\omega(M) = 1$ . It follows that  $M$  is perfect and (i) holds.

We now prove statement (ii). Suppose  $M$  is perfect and  $c(M) \leq 2$ . If  $c(M) = 1$ , then  $M$  has no odd circuits and the result holds. Now assume  $c(M) = 2$  and  $C$  is an odd circuit of  $M$  such that  $|C| \geq 5$ . If  $C$  has no chord, then  $c(cl(C)) = 2$ , since the flat  $cl(C)$  is an odd circuit. However, as  $cl(C)$  has no 3-circuit as a restriction,  $\omega(cl(C)) = 1$ . This contradicts the assumption that  $M$  is perfect and we conclude  $C$  has a chord.

Now suppose every odd circuit of  $M$  has a chord and  $c(M) \leq 2$ . By (i), the matroid  $M$  is perfect if  $c(M) = 1$ , so we may assume  $c(M) = 2$ . Let  $F$  be a non-empty flat of  $M$ . If  $c(M|F) = 1$ , then  $F$  contains no odd circuits, and  $\omega(M|F) = 1$ . If  $c(M|F) = 2$ , then  $F$  contains an odd circuit. Since each odd circuit of  $F$  has a chord, it follows from Lemma 1.8 that  $F$  has a 3-circuit. Then  $\omega(M|F) = 2$ . Hence  $M$  is a perfect matroid.  $\square$

The *complement*  $\overline{G}$  of a simple graph  $G$  is the graph with vertex set  $V(G)$  such that two distinct vertices are adjacent in  $\overline{G}$  if and only if they are non-adjacent in  $G$ .

The analogy between projective geometries in matroid theory and complete graphs in graph theory allows one to consider complements for simple matroids that are uniquely representable over  $GF(q)$ . If  $M$  is a simple uniquely  $GF(q)$ -representable matroid such that  $M \cong PG(k-1, q)|T$ , then the  $(GF(q), k)$ -complement of  $M$  is the matroid  $PG(k-1, q)\setminus T$ . For example,  $U_{2,3}$  is the  $(GF(2), 3)$ -complement of  $U_{3,4}$ .

The well-known Perfect Graph Theorem of Lovász [6] and Fulkerson [5] states that  $G$  is perfect if and only if  $\overline{G}$  is perfect. An analogous theorem for perfect matroids is proved next.

**Theorem 2.5.** *A simple rank- $r$  binary matroid  $M$  is perfect if and only if its  $(GF(2), r)$ -complement is perfect.*

*Proof.* Let  $M$  be a simple rank- $r$  perfect binary matroid. Then  $M$  can be embedded in a projective geometry  $PG(r-1, 2)$  and has a  $(GF(2), r)$ -complement which we shall denote by  $M^c$ . Let  $W_1$  and  $W_2$  be largest rank binary projective geometries that are restrictions of  $M$  and  $M^c$ , respectively. Then Lemma 1.5 implies that  $c(M) + r(W_2) = r$  and  $c(M^c) + r(W_1) = r$ . Hence  $c(M) + \omega(M^c) = r$  and  $c(M^c) + \omega(M) = r$ . Now, as  $M$  is perfect,  $\omega(M) = c(M)$ . Thus the fact that  $c(M) + \omega(M^c) = c(M^c) + \omega(M)$  implies  $\omega(M^c) = c(M^c)$ .

Now let  $F_1$  be a non-empty flat of  $M^c$ . Then  $F_1 = F - E(M)$  for some flat  $F$  of  $PG(r-1, 2)$ . Since  $F \cong PG(k-1, 2)$  for some  $k$ , the flat  $F_1$  has a  $(GF(2), k)$ -complement  $F_2$  that is a subset of  $E(M)$ . Moreover, as  $M$  is perfect,  $\omega(M|F_2) = c(M|F_2)$ . Since  $F_1$  is the  $(GF(2), k)$ -complement of  $F_2$ , it follows from the above argument that  $\omega(M^c|F_1) = c(M^c|F_1)$ . We conclude that  $M^c$  is perfect.  $\square$

The next lemma lists two useful properties of the characteristic polynomial. The first follows from the fact that the characteristic polynomial is a Tutte–Grothendieck invariant [3, Proposition 6.2.5] and the second was proven by Brylawski [2, Theorem 7.8].

**Lemma 2.6.** *Let  $M_1$ ,  $M_2$ , and  $M$  be matroids.*

- (i) *If  $M = M_1 \oplus M_2$ , then  $p(M; \lambda) = p(M_1; \lambda)p(M_2; \lambda)$ .*
- (ii) *If  $M$  is the generalized parallel connection of the matroids  $M_1$  and  $M_2$  across the modular flat  $X$ , then  $p(M; \lambda) = \frac{p(M_1; \lambda)p(M_2; \lambda)}{p(M|X; \lambda)}$ .*

The next two results concern ways of combining perfect matroids to form larger perfect matroids.

**Theorem 2.7.** *If  $M_1$  and  $M_2$  are perfect matroids representable over  $GF(q)$ , then the direct sum of  $M_1$  and  $M_2$  is also perfect.*

*Proof.* Suppose  $M_1$  and  $M_2$  are perfect  $GF(q)$ -representable matroids and let  $N = M_1 \oplus M_2$  be the direct sum of  $M_1$  and  $M_2$ . Then

$$\begin{aligned}
 c(N) &= \min\{j \in \mathbb{N} : p(N, q^j) > 0\} \\
 &= \min\{j \in \mathbb{N} : p(M_1, q^j)p(M_2, q^j) > 0\} \\
 (2.2) \quad &= \min\{j \in \mathbb{N} : p(M_1, q^j) > 0 \text{ and } p(M_2, q^j) > 0\} \\
 &= \max\{c(M_1), c(M_2)\}
 \end{aligned}$$

where the second equality follows from Lemma 2.5(i) and the last equality follows from Lemma 1.3. Moreover, as  $M_1$  and  $M_2$  are perfect, we have  $\omega(M_1) = c(M_1)$

and  $\omega(M_2) = c(M_2)$ . On combining this with Lemma 1.7, we have that

$$\begin{aligned} \max\{\omega(M_1), \omega(M_2)\} &= \max\{c(M_1), c(M_2)\} \\ &= c(N) \\ &\geq \omega(N) \\ &\geq \max\{\omega(M_1), \omega(M_2)\}. \end{aligned}$$

Hence  $c(N) = \omega(N)$ .

Now let  $F$  be a non-empty proper flat of  $N$ . Then  $F = F_1 \cup F_2$  where  $F_1$  is a flat of  $M_1$  and  $F_2$  is a flat of  $M_2$ . Thus  $\omega(M_1|F_1) = c(M_1|F_1)$  and  $\omega(M_2|F_2) = c(M_2|F_2)$ . Moreover, as  $N|F = (M_1|F_1) \oplus (M_2|F_2)$ , it follows from (2.2) that  $\max\{c(M_1|F_1), c(M_2|F_2)\} = c(N|F)$ . On combining this with Lemma 1.7 we obtain

$$\begin{aligned} \max\{\omega(M_1|F_1), \omega(M_2|F_2)\} &= \max\{c(M_1|F_1), c(M_2|F_2)\} \\ &= c(N|F) \geq \omega(N|F) \geq \max\{\omega(M_1|F_1), \omega(M_2|F_2)\}. \end{aligned}$$

Hence  $c(N|F) = \omega(N|F)$  for all flats  $F$  of  $N$ , and we conclude that  $N = M_1 \oplus M_2$  is a perfect matroid.  $\square$

**Theorem 2.8.** *If  $M_1$  and  $M_2$  are perfect matroids representable over  $GF(q)$ , then the parallel connection of  $M_1$  and  $M_2$  with basepoint  $p$  is also perfect.*

*Proof.* Suppose  $M_1$  and  $M_2$  are perfect  $GF(q)$ -representable matroids and let  $N = P(M_1, M_2; p)$  be the parallel connection of  $M_1$  and  $M_2$  with basepoint  $p$ . Then

$$\begin{aligned} (2.3) \quad c(N) &= \min\{j \in \mathbb{N} : p(N; q^j) > 0\} \\ &= \min\{j \in \mathbb{N} : \frac{p(M_1; q^j)p(M_2; q^j)}{q^j - 1} > 0\} \\ &= \min\{j \in \mathbb{N} : p(M_1, q^j)p(M_2, q^j) > 0\} \\ &= \max\{c(M_1), c(M_2)\} \end{aligned}$$

where the second equality follows from Lemma 2.5(ii) and the final equality follows from Lemma 1.3. From Lemma 1.7 we deduce that  $\max\{\omega(M_1), \omega(M_2)\} = \max\{c(M_1), c(M_2)\} = c(N) \geq \omega(N) \geq \max\{\omega(M_1), \omega(M_2)\}$ . Thus  $c(N) = \omega(N)$ .

Now let  $F$  be a non-empty proper flat of  $N$ . Define  $F_1$  to be the flat  $F \cap E(M_1)$  of  $M_1$  and  $F_2$  to be the flat  $F \cap E(M_2)$  of  $M_2$ . We now consider two cases.

If  $F$  is a flat of  $N$  not containing the basepoint  $p$ , then  $F = (M_1|F_1) \oplus (M_2|F_2)$ . Moreover, as  $M_1$  and  $M_2$  are perfect matroids,  $M_1|F_1$  and  $M_2|F_2$  are perfect. Then Theorem 2.6 implies that  $N|F$  is perfect and hence  $c(N|F) = \omega(N|F)$ .

Now suppose  $F$  is a flat of  $N$  containing the basepoint  $p$ . Then  $F$  is the parallel connection of  $M_1|F_1$  and  $M_2|F_2$  over the basepoint  $p$ . Moreover, as  $M_1$  and  $M_2$  are perfect,  $\omega(M_1|F_1) = c(M_1|F_1)$  and  $\omega(M_2|F_2) = c(M_2|F_2)$ . On combining this with (2.3) and Lemma 1.7 we have that

$$\begin{aligned} \max\{\omega(M_1|F_1), \omega(M_2|F_2)\} &= \max\{c(M_1|F_1), c(M_2|F_2)\} \\ &= c(N|F) \geq \omega(N|F) \geq \max\{\omega(M_1|F_1), \omega(M_2|F_2)\}. \end{aligned}$$

Hence  $c(N|F) = \omega(N|F)$ . Therefore  $c(N|F) = \omega(N|F)$  for all flats  $F$  of  $N$  and we conclude that  $N$  is a perfect matroid.  $\square$

### 3. Critically Imperfect Graphs and Matroids

Recall that an imperfect graph  $G$  is said to be *critically imperfect* if each of its proper induced subgraphs is perfect.

**Definition 3.1.** A simple binary matroid  $M$  is *critically imperfect* if  $M$  is imperfect and  $M|F$  is perfect for each proper flat  $F$  of  $M$ .

**Example 3.2.** Let  $C_n$  denote a cycle on  $n$  vertices. If  $n$  is odd and exceeds three, then, as  $C_n$  is not a two-colorable graph,  $c(M(C_n)) = 2$ . Moreover, as  $C_n$  contains no 3-cycle as a restriction,  $\omega(M(C_n)) = 1$ . Since  $c(M(C_n)|F) = \omega(M(C_n)|F) = 1$  for all proper flats  $F$  of  $M(C_n)$ , we see that the matroids derived from odd cycles are critically imperfect.

The matroid  $M(K_5)$  is another example of a critically imperfect matroid since  $c(M(K_5)) = 3$  and  $\omega(M(K_5)) = 2$ , while, for each proper flat  $F$ ,

$$(3.1) \quad \omega(M(K_5)|F) = c(M(K_5)|F) = \begin{cases} \frac{1}{2} & 2, & \text{if } F \text{ contains a 3-circuit} \\ 1, & \text{otherwise.} \end{cases}$$

Therefore the matroid  $M(C_5)$  and its  $(GF(2), 4)$ -complement,  $M(K_5)$ , are an example of the next result which follows from Theorem 2.5

**Theorem 3.3.** *A simple rank- $r$  binary matroid  $M$  is critically imperfect if and only if its  $(GF(2), r)$ -complement is critically imperfect.*

A graph  $G$  is said to be  *$k$ -vertex-critical* if  $\chi(G) = k$  and  $\chi(G - v) < k$  for each vertex  $v$  of  $G$ .

**Theorem 3.4.** *Let  $M$  be a simple binary matroid.*

- (i)  *$M$  is critically imperfect and  $c(M) = 2$  if and only if  $M \cong U_{n-1, n}$  for an odd integer  $n$  such that  $n \geq 5$ .*
- (ii)  *$M$  is graphic, critically imperfect, and  $c(M) = 3$  if and only if  $M \cong M(G)$  where  $G$  is 5-vertex-critical and every odd cycle of length exceeding 3 has a chord.*

*Proof.* It was shown in Example 3.2 that if  $M \cong U_{n-1, n}$  for an odd integer  $n \geq 5$ , then  $M$  is critically imperfect and  $c(M) = 2$ . Suppose  $M$  is a critically imperfect matroid such that  $c(M) = 2$ . Now, as  $M$  is not affine, it has an odd circuit  $C$ . Since  $M$  is critically imperfect and  $c(cl_M(C)) = 2$ , the flat  $cl_M(C)$  must equal  $M$ . Thus  $C$  is a spanning set of  $M$ . If  $x \in E(M) \setminus C$ , then Lemma 1.8 implies that there is a partition of  $C$  into nonempty subsets  $X_1$  and  $X_2$  such that  $X_1 \cup x$  and  $X_2 \cup x$  are circuits of  $M$ , and  $M$  has no other circuits that contain  $x$  and are contained in  $C \cup x$ . Moreover, as  $C$  is an odd circuit, we may assume that  $|X_1|$  is even and  $|X_2|$  is odd. Thus  $X_1 \cup x$  is an odd circuit and a proper flat of  $M$  contrary to the fact that  $c(M|F) = \omega(M|F) \leq 1$  for each proper flat  $F$  of  $M$ . Therefore  $E(M) \setminus C = \emptyset$  and we conclude that  $M \cong U_{n-1, n}$  for an odd integer  $n \geq 5$ .

We now prove (ii). Suppose  $M(G)$  is critically imperfect and  $c(M(G)) = 3$ . Since  $M(G - v)$  is a proper flat of  $M(G)$ , we have  $c(M(G - v)) = \omega(M(G - v)) \leq 2$ . Thus, although  $G$  is not 4-colorable,  $G - v$  is 4-colorable for each vertex  $v$  of  $G$ . Therefore  $G$  is 5-vertex-critical.

Now suppose  $C$  is an odd cycle of  $G$  having length at least 5 and no chords. Then  $C$  is a flat of  $M(G)$ . However,  $\omega(M(G)|C) = 1$  and  $c(M(G)|C) = 2$ , contrary

to the fact that  $M(G)$  is critically imperfect. Thus every odd cycle of length at least 5 has a chord.

Now assume  $G$  is a 5-vertex-critical graph and every odd cycle of length at least 5 has a chord. Then  $c(M(G)) = 3$  and  $c(M(G)|F) < 3$  for each proper flat  $F$  of  $M(G)$ . Moreover,  $\omega(M(G)) \leq 2$ , as  $M(G)$  is graphic. Let  $F$  be a proper flat of  $M(G)$ . If  $F$  has no odd circuits, then  $c(M(G)|F) = 1$  and  $\omega(M(G)|F) = 1$ . If  $F$  has an odd circuit, then  $c(M(G)|F) = 2$ . As every odd cycle of  $G$  of length at least five has a chord, it follows that  $F$  has a 3-circuit. Hence  $\omega(M(G)|F) = 2$  and we conclude that  $M(G)$  is a critically imperfect matroid.  $\square$

The following lemma, due to Tucker [8], characterizes the critically imperfect graphs having no  $K_4$ -restriction.

Lemma 3.5. *The only critically imperfect graphs having no  $K_4$ -restriction are the odd circuits of length at least 5 or their complements.*

The next two theorems describe the relationship between the set of critically imperfect graphs and the set of graphs  $G$  such that  $M(G)$  is a critically imperfect matroid. The graph  $\overline{C_7}$  mentioned in the following results is shown in Figure 1.

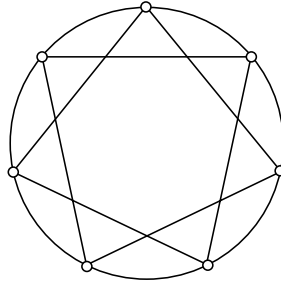


Figure 1. The graph  $\overline{C_7}$ .

Theorem 3.6. *If  $G$  is a critically imperfect graph such that  $M(G)$  is not critically imperfect as a matroid, then  $\chi(G) \geq 6$  or  $G \cong \overline{C_7}$ .*

*Proof.* Suppose  $G$  is a critically imperfect graph but  $M(G)$  is not critically imperfect as a matroid. Since  $G$  is critically imperfect,  $\chi(G) \geq 3$ . Moreover, as the only critically imperfect graphs with chromatic number 3 are the odd cycles  $C_n$  for  $n \geq 5$ , Theorem 3.4(i) implies that  $\chi(G) \geq 4$ .

Suppose that  $\chi(G) = 4$ . Then, as  $G$  is critically imperfect,  $\omega(G) < \chi(G) = 4$ . Thus  $G$  has no  $K_4$ -restriction, and Lemma 3.5 implies that  $G$  is an odd cycle or the complement of an odd cycle. It follows that  $G \cong \overline{C_7}$ .

Now suppose that  $\chi(G) = 5$ . Then every odd cycle of  $G$  of length 5 or more has a chord and  $c(M(G)) = 3$  but  $\omega(M(G)) = 2$ . Hence  $M(G)$  is an imperfect matroid. Therefore  $M(G)$  contains a proper flat  $F = M(G_1)$  that is critically imperfect as a matroid. Now, as  $G_1$  is a vertex-induced subgraph of  $G$ , we have  $\chi(G_1) \leq 4$ . Since  $M(G_1)$  is critically imperfect,  $\chi(G_1) \geq 3$ . Hence  $c(M(G_1)) = 2$  and  $G_1$  is an odd cycle of length at least 5. However, this contradicts the fact that vertex-induced subgraph of  $G$  perfect, and we conclude that the theorem holds.  $\square$



**Theorem 3.7.** *If  $M(G)$  is a critically imperfect matroid and  $G$  is not critically imperfect as a graph, then either  $G \cong K_5$  or  $G$  has  $\overline{C_7}$  as a proper induced subgraph.*

*Proof.* Suppose  $M(G)$  is a critically imperfect matroid, but  $G$  is not a critically imperfect graph. Theorem 3.4(i) implies that  $c(M(G)) \geq 3$ . Moreover, as  $PG(2, 2)$  is an excluded minor for graphic matroids,  $\omega(M(G)) \leq 2$ . Thus  $c(M(G)) = 3$  and  $\omega(M(G)) = 2$ . Furthermore,  $G$  is 5-vertex-critical and every odd cycle of length at least 5 has a chord. If  $|V(G)| = 5$ , then clearly  $G \cong K_5$ . Now suppose  $|V(G)| > 5$ . As  $G$  is a 5-vertex-critical graph, it has no  $K_5$ -restriction. Hence  $G$  is an imperfect graph and it follows that  $G$  has a proper vertex-induced critically imperfect subgraph  $G'$  such that  $\chi(G') \leq 4$ . If  $\chi(G') = 3$ , then Lemma 3.5 implies that  $G'$  is an odd cycle or the complement of an odd cycle. Now, as  $\chi(\overline{C_n}) \geq 4$  for odd integers  $n \geq 7$  and  $\overline{C_5} = C_5$ , we deduce that  $G'$  is an odd cycle of length at least 5. However, this contradicts the fact that  $G$  has no chordless odd cycles of length at least 5 as induced subgraphs. Hence we may assume that  $\chi(G') = 4$ . Since  $G'$  is critically imperfect it has no  $K_4$ -restriction. Then Lemma 3.5 implies that  $G'$  is an odd cycle or the complement of an odd cycle, and the fact that  $\chi(G') = 4$  implies that  $G'$  is  $\overline{C_7}$ . Thus  $G$  is a 5-vertex-critical graph that has  $\overline{C_7}$  as a proper induced subgraph and is not critically imperfect.  $\square$

It follows from the previous results that  $M(\overline{C_7})$  is a perfect matroid although  $\overline{C_7}$  is a critically imperfect graph. Three examples of graphs which are not critically imperfect, have  $\overline{C_7}$  as an induced subgraph, and yield critically imperfect matroids are shown in Figure 2.

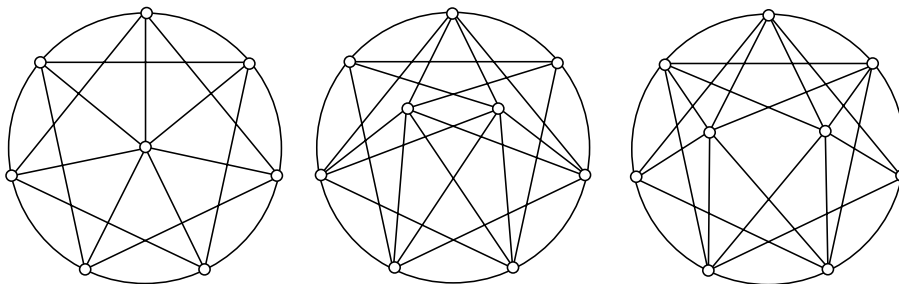


Figure 2.

#### acknowledgement

This work was partially supported by a T.T.U. Faculty Research Grant

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